

100

1024 1094 114 106

112

108

114

120

122

Risk Screen
File Reports

Customer Name: Customer #1

Contract Name: Denver

Risk Factor Name
Governing Law
Dispute Resolution
Excusable Delay

Selected Premise
Governing Law Other than US State or England

Risk Factor Description
An acceptable governing law for the contract must be stated. GE CS standard is NY State, USA. For contracts regarding a facility outside the US, laws of England are acceptable

Risk Factor Selection
Contracted Performance
Available Premise
Performance Guarantee
Other Performance Guarantee
Performance Guarantee > 3% Down
LD only Guarantee
LD Cap > 20 % for Frame Units or > 10% for LM
LD not Sole Remedy
Other Performance Deviation

New Risk Factor Description
Reasonable performance guarantees respecting availability, reliability, heat rate and/or output may be included. Acceptable confidence levels must apply. All guarantees must be determined by acceptable, specified measurement at specified time(s). No continuous guarantees are to be offered. Guarantee levels must be in accordance with modeling and technical reviews. Incentive only preferred. Any potential downside must be structured as liquidated damages (LDs). At least equal upside opportunity must be included. LDs must be the sole and exclusive remedy/liability in the event of a shortfall. LDs must be subject to individual and aggregate caps for each year. All Guarantees must be generated and approved by a Risk Analyst before submission to the customer. Document the analyst's name in the variation section below. LM Notes: Added 18 April 01 - Maximum Availability

Contract
Contract
Customer Name
CMS Opportunity Id
ITO Number
Commercial Director
Contract Manager
Original Date
Contract Close Date

12-4
126
128
Employee 1 130
Employee 3 132
7/3/2001 134
136

Variation
Person Details

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Start | Citrix NF use... | InfoBox - Micro... | Use Risk 5 or... | Exploring... | Microsoft W... | Snap! Capt... | 3:06 PM

Fig. 1

200

106

104

108

114

120

118

122

202

204

Risk Screen

File Reports

Customer Name Customer #1

Contract Name Denver

Risk Factor Name

Governing Law

Dispute Resolution

Excusable Delay

Risk Factor Selection

Contracted Performance

Available Premise

Performance Guarantee

Other Performance Guarantee

Performance Guarantee > 3% Down

LD only Guarantee

LD Cap > 20 % for Frame Units or > 10% for LM

LD not Sole Remedy

Other Performance Deviation

Risk Factor Description

An acceptable governing law for the contract must be stated. GECS standard is NY State, USA. For contracts regarding a facility outside the US, laws of England are acceptable.

Risk Factor Description

Reasonable performance guarantees respecting availability, reliability, heat rate and/or output may be included. Acceptable confidence levels must apply. All guarantees must be determined by acceptable, specified measurement at specified time(s). No continuous guarantees are to be offered. Guarantee levels must be in accordance with modeling and technical reviews. Incentive only preferred. Any potential downside must be structured as liquidated damages (LDs). At least equal upside opportunity must be included. LDs must be the sole and exclusive remedy/liability in the event of a shortfall. LDs must be subject to individual and aggregate caps for each year. All Guarantees must be generated and approved by a Risk Analyst before submission to the customer. Document the analyst's name in the variation section below. LM Notes: Added 18 April 01 - Maximum Availability

Contract

Article Code 23

Section Code

Description Governing Law

Variation

Page No. 49

Point Of Contact Contract Manager

Revision

Final Contract Reference

Final Contract P

Person Details

Save

GE

GE Preplayed and Confidential Information - For Internal GE Use Only

Use Risk Screen

Exploring

Microsoft W

Snagit C...

FW: 25MB

Start

Chris NFuse...

Inbox

Mid

3:06 PM

Fig. 2

102 104 106 116 300

Risk Screen
File Reports

Customer Name **Customer #1**

Contract Name **Denver**

Risk Factor Name
Governing Law
Dispute Resolution
Excusable Delay

Risk Factor Description
An acceptable governing law for the contract must be stated. GECS standard is NY State, USA. For contracts regarding a facility outside the US, laws of England are acceptable

Risk Factor Selection
Contracted Performance
Available Premise
Performance Guarantee
Other Performance Guarantee
Performance Guarantee > 3% Down
LD only Guarantee
LD Cap > 20 % for Frame Units or > 10% for LM
LD not Sole Remedy
Other Performance Deviation

New Risk Factor Description
Reasonable performance guarantees respecting availability, reliability, heat rate and/or output may be included. Acceptable confidence levels must apply. All guarantees must be determined by acceptable, specified measurement at specified time(s). No continuous guarantees are to be offered. Guarantee levels must be in accordance with modeling and technical reviews. Incentive only preferred. Any potential downside must be structured as liquidated damages (LDs). At least equal upside opportunity must be included. LDs must be the sole and exclusive remedy/liability in the event of a shortfall. LDs must be subject to individual and aggregate caps for each year. All Guarantees must be generated and approved by a Risk Analyst before submission to the customer. Document the analyst's name in the variation section below. LM Notes: Added 18 April 01 - Maximum Availability

Contract
Premise
Required Approvals
Specific Variation

Variation
Person Details

Approver List
Save
Delete
314
316

Approved List
318
Deal Complete

Approval Status
Not Approved
Partially Approved
Fully Approved

110

112

Fig. 3

308

312

Start | C:\Program Files\Microsoft Office\Microsoft Office Word 2003\Microsoft Word - d... | GE Privileged and Confidential Information - For Internal GE Use Only | 2:33 PM

Risk Screen

Customer Name **102** **104** Contract Name **106** **400** **108**

110 { Risk Factor Name Risk Factor Description **114**

{ Risk Factor Selection **116**

112 { Selected Premise **122**

Contract **Terms & Conditions** **Variation** **Person Details**

First Name John Last Name Doe M.I. **402**

Position Risk Management, Black Cat **404**

Phone Number (770) 555-5555 **406**

E-Mail Address john.doe@aol.com **408**

410 **412**


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Fig. 4

Risk Report

Contract Name: Vancouver
 Contract Number: 7-YM264
 Contract Manager: Jane Doe

Risk Factor: Governing Law

<u>Premise Name</u>	<u>Status</u>	<u>Change Date</u>	<u>User ID</u>
Governing Law Other Than U.S.	Not Approved	7/28/01 4:50pm	jane.doe@abl.com

→ Variation: Province of British Columbia

Approvals Received: Jane Smith

Approvals Still Required: Alice Doe
 Becky Doe

Risk Factor: Dispute Resolution

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•
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Fig. 5

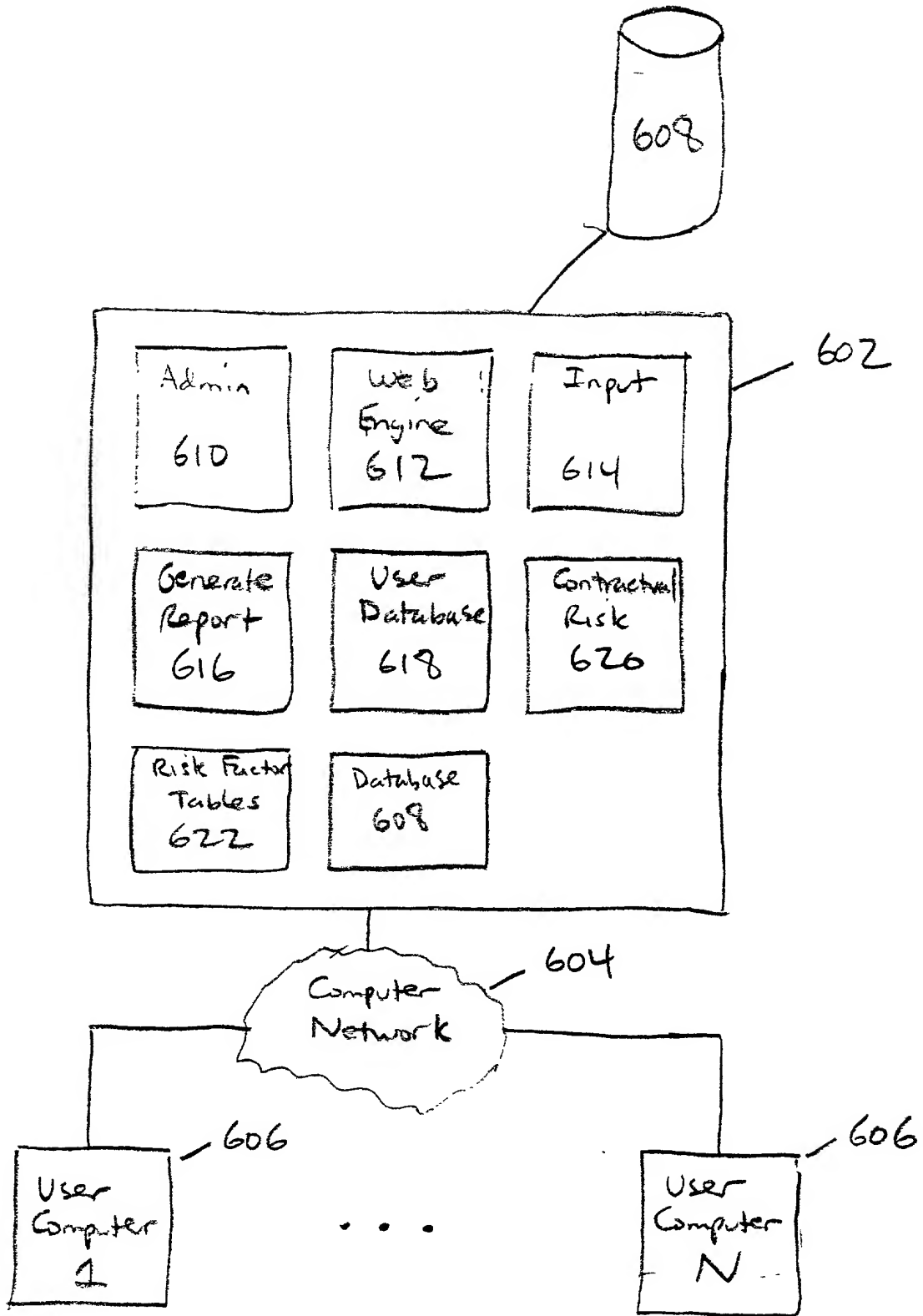


Fig. 6

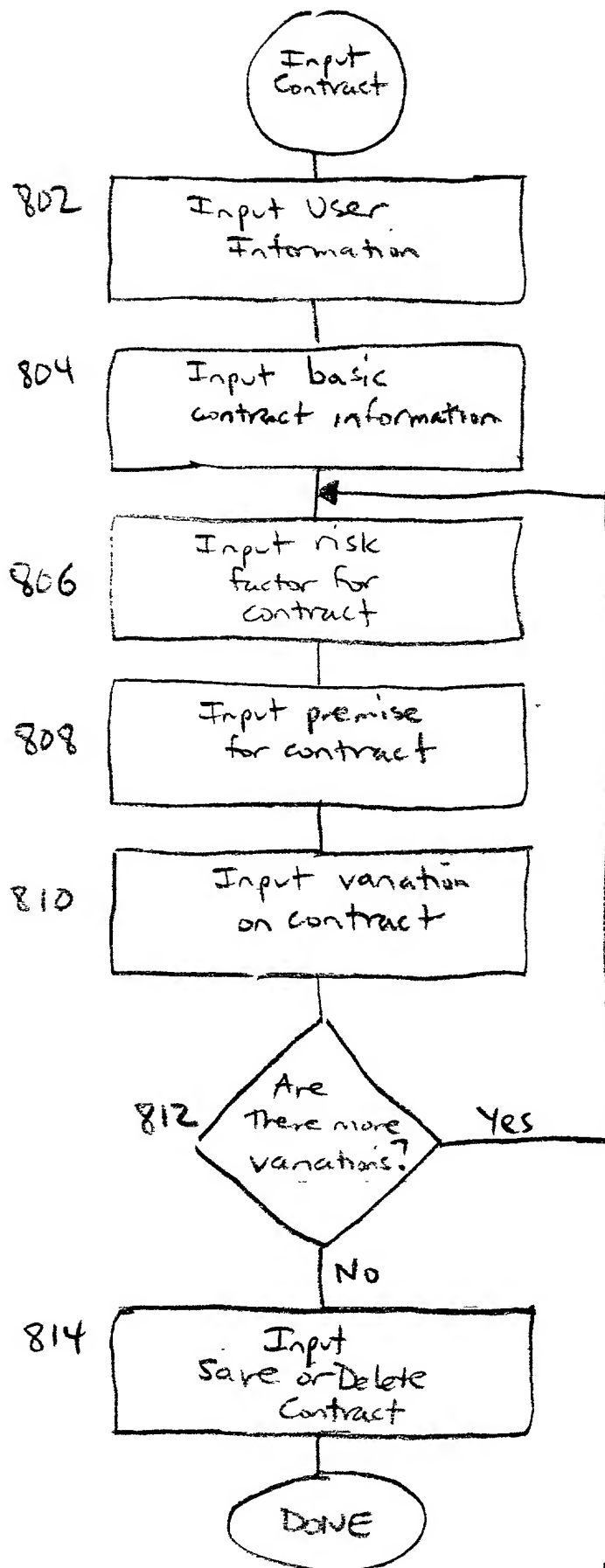
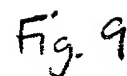


Fig. 8



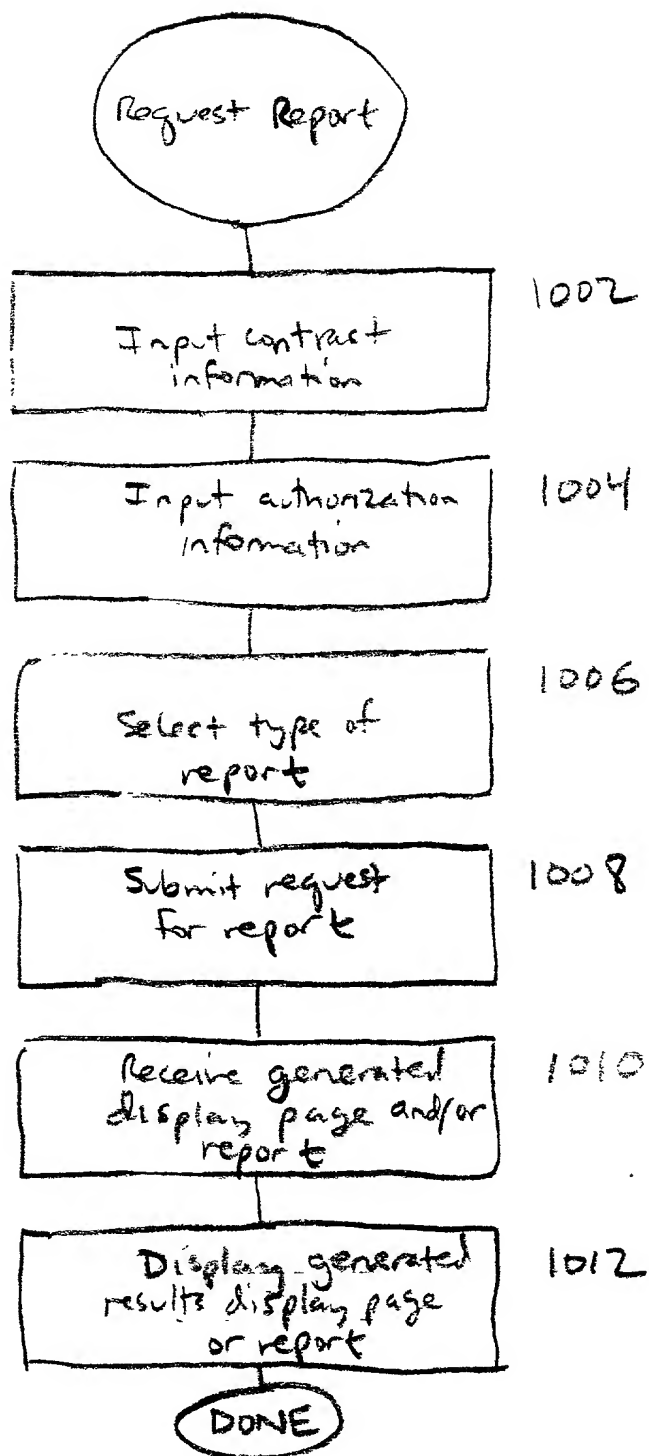


Fig. 1D

1002209 12301

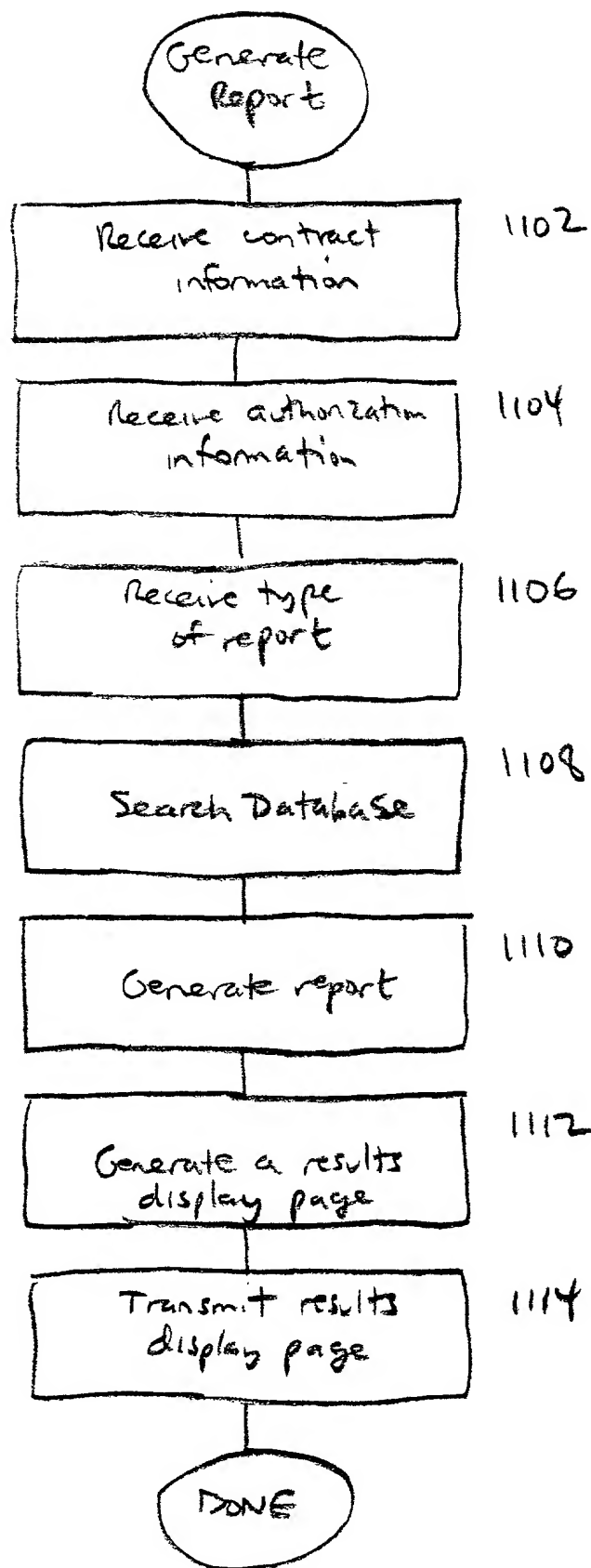


Fig. 11

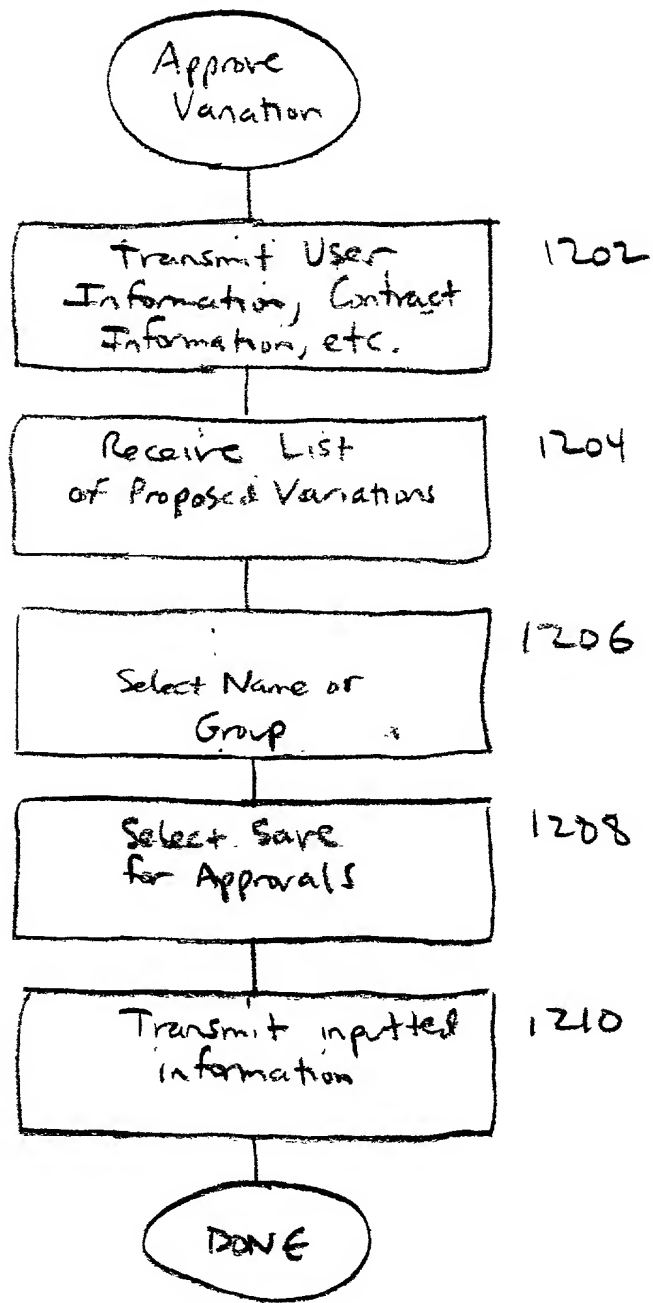


Fig. 12

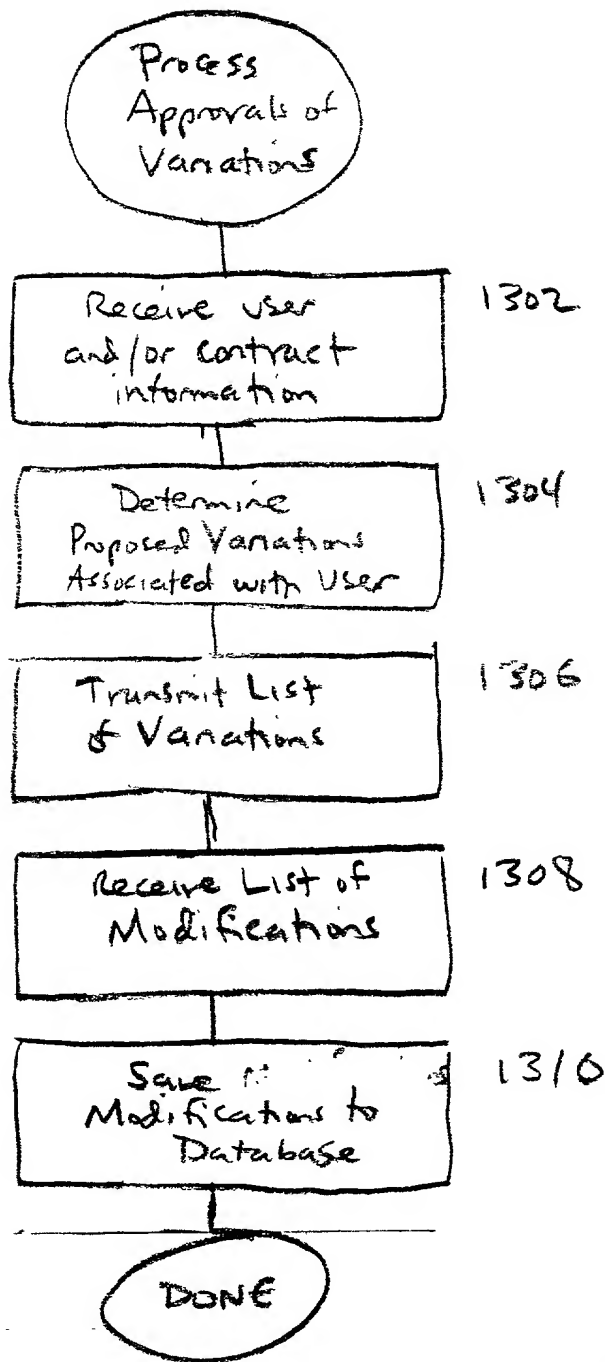


Fig. 13